

# PATENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

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## PCT

### NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(PCT Rule 71.1)

Date of mailing  
(day/month/year)

22.11.2005

Applicant's or agent's file reference  
P34235ACMU/MCM

#### IMPORTANT NOTIFICATION

International application No.  
PCT/GB2004/003391

International filing date (day/month/year)  
05.08.2004

Priority date (day/month/year)  
05.08.2003

Applicant  
CSS-ALBACHEM LIMITED et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary report on patentability and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the international  
preliminary examining authority:



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# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference P34235ACMUMCM	<b>FOR FURTHER ACTION</b>	See Form PCT/IPEA416
International application No. PCT/GB2004/003391	International filing date (day/month/year) 05.08.2004	Priority date (day/month/year) 05.08.2003
International Patent Classification (IPC) or national classification and IPC C07K11/07, C07K19/00		
Applicant CSS-ALBACHEM LIMITED et al.		
<p>1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 5 sheets, including this cover sheet.</p> <p>3. This report is also accompanied by ANNEXES, comprising:</p> <p>a. <input checked="" type="checkbox"/> sent to the applicant and to the International Bureau a total of 9 sheets, as follows:</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</p> <p style="margin-left: 20px;"><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</p> <p>b. <input type="checkbox"/> (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) , containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</p>		
<p>4. This report contains indications relating to the following items:</p> <p><input checked="" type="checkbox"/> Box No. I Basis of the opinion</p> <p><input checked="" type="checkbox"/> Box No. II Priority</p> <p><input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p><input type="checkbox"/> Box No. IV Lack of unity of invention</p> <p><input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p><input type="checkbox"/> Box No. VI Certain documents cited</p> <p><input type="checkbox"/> Box No. VII Certain defects in the international application</p> <p><input type="checkbox"/> Box No. VIII Certain observations on the international application</p>		
Date of submission of the demand  03.06.2005		Date of completion of this report  22.11.2005
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465		Authorized Officer  Mundel, C  Telephone No. +49 89 2399-7314 

**INTERNATIONAL PRELIMINARY REPORT  
ON PATENTABILITY**

International application No.  
PCT/GB2004/003391

**Box No. I Basis of the report**

1. With regard to the **language**, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ This report is based on translations from the original language into the following language, which is the language of a translation furnished for the purposes of:

- ☐ international search (under Rules 12.3 and 23.1(b))
- ☐ publication of the international application (under Rule 12.4)
- ☐ international preliminary examination (under Rules 55.2 and/or 55.3)

2. With regard to the **elements**\* of the international application, this report is based on *(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report)*:

**Description, Pages**

1-57 as originally filed

**Sequence listings part of the description, Pages**

1-5 received on 24.11.2004 with letter of 23.11.2004

**Claims, Numbers**

1-27 received on 09.06.2005

**Drawings, Sheets**

1/15-15/15 as originally filed

☐ a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing

3. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages
- ☐ the claims, Nos.
- ☐ the drawings, sheets/figs
- ☐ the sequence listing (*specify*):
- ☐ any table(s) related to sequence listing (*specify*):

4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

- ☐ the description, pages
- ☐ the claims, Nos.
- ☐ the drawings, sheets/figs
- ☐ the sequence listing (*specify*):
- ☐ any table(s) related to sequence listing (*specify*):

\* If item 4 applies, some or all of these sheets may be marked "superseded."

**INTERNATIONAL PRELIMINARY REPORT  
ON PATENTABILITY**

International application No.  
PCT/GB2004/003391

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**Box No. II Priority**

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1. ☒ This report has been established as if no priority had been claimed due to the failure to furnish within the prescribed time limit the requested:
- ☒ copy of the earlier application whose priority has been claimed (Rule 66.7(a)).
  - ☐ translation of the earlier application whose priority has been claimed (Rule 66.7(b)).
2. ☐ This report has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid (Rule 64.1). Thus for the purposes of this report, the international filing date indicated above is considered to be the relevant date.
3. Additional observations, if necessary:

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**Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

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1. Statement

Novelty (N)	Yes: Claims	1-27
	No: Claims	
Inventive step (IS)	Yes: Claims	1-27
	No: Claims	
Industrial applicability (IA)	Yes: Claims	1-27
	No: Claims	

2. Citations and explanations (Rule 70.7):

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
REPORT ON PATENTABILITY  
(SEPARATE SHEET)**

International application No.

PCT/GB2004/003391

**Re Item V**

**Reasoned statement with regard to novelty, inventive step or industrial applicability;  
citations and explanations supporting such statement**

1. The present application refers to methods for producing oligopeptide products wherein a first oligopeptide product and a second oligopeptide / label molecule are linked via a linking moiety having formula I, formula II or formula III. The application also refers to labelled oligopeptides produced by such methods.
2. Reference is made to the following documents :
  - D1: PERLER F.B. ET AL.: "The mechanism of protein splicing: variations on a theme" PEPTIDES 2002, 2002, pages 254-255, NAPOLI, ITALY
  - D2: CHONG S ET AL: "Single-column purification of free recombinant proteins using a self-cleavable affinity tag derived from a protein splicing element" GENE: AN INTERNATIONAL JOURNAL ON GENES AND GENOMES, ELSEVIER SCIENCE PUBLISHERS, BARKING, GB, vol. 192, no. 2, 1997, pages 271-281.
  - D3: COTTON GRAHAM J ET AL: "Peptide ligation and its application to protein engineering" CHEMISTRY AND BIOLOGY (LONDON), vol. 6, no. 9, September 1999 (1999-09), pages R247-R256.
  - D4: WO 00/18881 A (XU MING QUN ; NEW ENGLAND BIOLABS INC (US); EVANS THOMAS C (US)) 6 April 2000 (2000-04-06)
  - D5: GEOGHEGAN K F: "Site-directed conjugation of nonpeptide groups to peptides and proteins via periodate oxidation of a 2-amino alcohol. Application to modification at N-terminal serine" BIOCONJUGATE CHEMISTRY, AMERICAN CHEMICAL SOCIETY, WASHINGTON, US, vol. 3, no. 2, 1992, pages 138-146.

**3. Novelty; article 33(2) PCT.**

The subject-matter of claims 1-27 has never been disclosed in the documents cited in the International Search Report (ISR). Therefore, claims 1-27 have to be considered as novel in the sense of Article 33(2) PCT.

**4. Inventive step; article 33(3) PCT.**

The documents D1 to D4 disclose the use of peptides linked to a modified intein for the generation of peptides having an activated C-terminal  $\alpha$  thioester. This technique has been used for Expressed Protein Ligation or Intein-mediated Protein Ligation where the second peptide possesses a N-terminal cysteine residue which reacts with the thioester to form a peptide bond.

Even of the documents D1 and D4 refer to a general nucleophilic attack, all the examples disclosed in said documents involve the attack of the C-terminal thioester of a recombinant peptide by a peptide having a N-terminal cysteine.

None of the documents cited in the International Search Report suggest the methods and products of the present application

Therefore, the subject-matter of claims 1-27 has to be considered as inventive in the sense of article 33(3) PCT.

1     **Claims**

2

3     1.     A method of producing an oligopeptide product,  
4     the method comprising the steps:

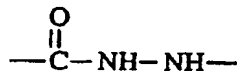
5     a)     providing a first oligopeptide, the first  
6     oligopeptide having a reactive moiety,

7     b)     providing a second oligopeptide, the second  
8     oligopeptide having a activated ester moiety

9     c) allowing the reactive moiety of the first  
10    oligopeptide to react with the activated ester  
11    moiety of the second oligopeptide to form an  
12    oligopeptide product, in which the first and second  
13    oligopeptides are linked via a linking moiety having  
14    Formula I, Formula II or Formula III.

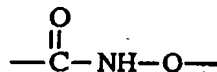
15

16            Formula I



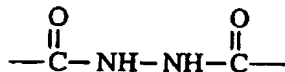
17

18            Formula II



19

20            Formula III



21

22

23

24     2. The method according to claim 1 wherein the  
25     terminal activated ester moiety is a thioester  
26     wherein the peptide is the acyl substituent of

1 the thioester.

2

3 3. The method according to claim 2, wherein said  
4 second polypeptide is generated by thiol reagent  
5 dependent cleavage of a precursor molecule, said  
6 precursor molecule comprising a second oligopeptide  
7 fused N-terminally to an intein domain.

8

9 4. A method of producing an oligopeptide product,  
10 the method comprising the steps:

11 a) providing a first oligopeptide, the first  
12 oligopeptide having a reactive moiety,  
13 b) i) providing a precursor oligopeptide molecule,  
14 the precursor oligopeptide molecule comprising a  
15 second oligopeptide fused N-terminally to an intein  
16 domain  
17 ii) allowing thiol reagent dependent cleavage of the  
18 precursor molecule to generate a second oligopeptide  
19 molecule, said second oligopeptide molecule having a  
20 thioester moiety at its C-terminus,  
21 c) allowing the reactive moiety of the first  
22 oligopeptide to react with the second oligopeptide  
23 molecule to form an oligopeptide product, in which  
24 the first and second oligopeptides are linked via a  
25 linking moiety having Formula I, II or III.

26

27 5. The method according to any one of the preceding  
28 claims wherein the reactive moiety is a hydrazine  
29 moiety, a hydrazide moiety or an aminooxy moiety.

30

31 6. The method according to claim 5, wherein the  
32 reactive moiety is an aminooxy moiety and the



- 1       activated ester moiety is a thioester.  
2
- 3       7. The method according to claim 5, wherein said  
4       first oligopeptide is produced by reaction of  
5       hydrazine with a precursor molecule, said  
6       precursor molecule comprising a precursor  
7       oligopeptide fused N-terminally to an intein  
8       domain via a thioester moiety.  
9
- 10      8. A method of producing an oligopeptide product,  
11      said method comprising the steps:  
12      a) providing a first oligopeptide, the first  
13      oligopeptide having a reactive moiety, wherein  
14      the reactive moiety is a hydrazine moiety, a  
15      hydrazide moiety or an amino-oxy moiety;  
16      b) providing a precursor oligopeptide molecule,  
17      the precursor oligopeptide molecule comprising a  
18      second oligopeptide fused N-terminally to an  
19      intein domain;  
20      c) allowing the reactive moiety of the first  
21      oligopeptide to react with the precursor  
22      oligopeptide molecule to form an oligopeptide  
23      product, in which the first and second  
24      oligopeptides are linked via a linking moiety  
25      having Formula I, Formula II or Formula III.  
26
- 27      9. The method according to any one of the preceding  
28      claims, wherein the first oligopeptide or the  
29      second oligopeptide is a recombinant oligopeptide  
30      and the other of the the first oligopeptide and  
31      the second oligopeptide is a synthetic  
32      polypeptide.

- 1
- 2 10. The method according to any one of claims 1 to
- 3 8, wherein the first oligopeptide and the second
- 4 oligopeptide are recombinant oligopeptides.
- 5
- 6 11. The method according to any one of claims 1 to
- 7 8, wherein the first oligopeptide and the second
- 8 oligopeptide are synthetic oligopeptides.
- 9
- 10 12. A method of generating a protein hydrazide,
- 11 said method comprising the steps:
- 12 (a) providing a protein molecule comprising an
- 13 oligopeptide fused N-terminal to an intein
- 14 domain,
- 15 (b) reacting said protein molecule with
- 16 hydrazine, such that the intein domain is cleaved
- 17 from the oligopeptide to generate a protein
- 18 hydrazide.
- 19
- 20 13. The method according to any one of the claims 1
- 21 to 11 wherein step (c) of the method is performed
- 22 at a pH in the range pH 6.5 to 7.5.
- 23
- 24 14. A method of producing an oligopeptide product,
- 25 the method comprising the steps:
- 26 a) providing a first oligopeptide, the first
- 27 oligopeptide having an aldehyde or ketone moiety,
- 28 b) providing a precursor oligopeptide molecule,
- 29 the precursor oligopeptide molecule comprising a
- 30 second oligopeptide fused N-terminally to an
- 31 intein domain,
- 32 c) reacting said precursor oligopeptide molecule

1 with hydrazine to generate an oligopeptide  
2 molecule comprising an intermediate oligopeptide,  
3 said intermediate oligopeptide having a terminal  
4 hydrazide moiety,  
5 d) allowing the aldehyde or ketone moiety of the  
6 first oligopeptide to react with the hydrazide  
7 moiety of the intermediate oligopeptide molecule  
8 to form an oligopeptide product, in which first  
9 oligopeptide and the second oligopeptide are  
10 linked via a hydrazone linking moiety.

11

12 15. An oligopeptide product produced by the method  
13 of any one of the preceding claims, in which the  
14 first and second oligopeptides are linked via a  
15 linking moiety having Formula II or Formula III.

16

17 16. A method of labelling an oligopeptide, the  
18 method comprising the steps:  
19 a) providing a label molecule, the label molecule  
20 having a reactive moiety,  
21 b) providing the oligopeptide, the oligopeptide  
22 having a activated ester moiety  
23 c) allowing the reactive moiety of the label  
24 molecule to react with the activated ester moiety  
25 of the oligopeptide to form the labelled  
26 oligopeptide, in which the label molecule and the  
27 oligopeptide are linked via a linking moiety  
28 having Formula I, Formula II or Formula III.

29

30 17. The method according to claim 16, wherein in  
31 step (c), where said label molecule and the  
32 oligopeptide are linked via a linking moiety

1       having Formula II and where said activated ester  
2       moiety of step (b) is not a thioester, said  
3       activated ester is a terminal activated ester  
4       moiety.

5

6       18. A method of labelling an oligopeptide, the  
7       method comprising the steps:

8       a) providing a label molecule, the label molecule  
9       having an activated ester moiety of which the  
10      label is the acyl substituent,

11      b) providing the oligopeptide, the oligopeptide  
12      having a reactive moiety

13      c) allowing the activated ester moiety of the  
14      label molecule to react with the reactive moiety  
15      of the oligopeptide to form the labelled

16      oligopeptide, in which the label molecule and the  
17      oligopeptide are linked via a linking moiety

18      having Formula I, Formula II or Formula III,

19      wherein, in step (c), where said label molecule

20      and the oligopeptide are linked via a linking

21      moiety having Formula II and where said activated

22      ester moiety of step (b) is not a thioester, said

23      activated ester is a terminal activated ester

24      moiety.

25

26      19. The method according to claim 18 wherein said  
27      oligopeptide is produced by reaction of hydrazine

28      with a precursor molecule, said precursor

29      molecule comprising a precursor oligopeptide

30      fused N-terminally to an intein domain via a

31      thioester moiety.

32

- 1     20. A method of labelling an oligopeptide, the  
2     method comprising the steps:  
3     a) providing a label, the label having a reactive  
4     moiety,  
5     b) (i) providing a precursor oligopeptide  
6     molecule, the precursor oligopeptide molecule  
7     comprising an oligopeptide fused N-terminally to  
8     an intein domain  
9     (ii) allowing thiol reagent dependent cleavage of  
10    the precursor molecule to generate the  
11    oligopeptide molecule, said oligopeptide molecule  
12    having a thioester moiety at its C-terminus,  
13    c) allowing the reactive moiety of the label to  
14    react with the oligopeptide molecule to form a  
15    labelled oligopeptide, in which the label and  
16    oligopeptide are linked via a linking moiety  
17    having Formula I, II or III.  
18
- 19    21. The method according to any one of claims 16 to  
20    18, wherein the reactive moiety is an aminooxy  
21    moiety and the activated ester moiety is a  
22    thioester.  
23
- 24    22. The method according to claim 20, wherein the  
25    reactive moiety is an aminooxy moiety.  
26
- 27    23. A method of labelling an oligopeptide, the  
28    method comprising the steps:  
29    a) providing a label molecule, the label molecule  
30    having a reactive moiety,  
31    b) providing a precursor oligopeptide molecule,  
32    the precursor oligopeptide molecule comprising an

- 1 oligopeptide fused N-terminally to an intein  
2 domain,  
3 c) allowing the reactive moiety of the label  
4 molecule to react with the precursor oligopeptide  
5 molecule to form a labelled oligopeptide product,  
6 in which the label molecule and the oligopeptide  
7 are linked via a linking moiety having Formula I,  
8 Formula II or Formula III as defined above.  
9
- 10 24. The method according to any one of claims 16 to  
11 23 wherein step (c) of the method is performed at  
12 a pH in the range pH 6.5 to pH 7.5.  
13
- 14 25. A method of labelling an oligopeptide, the  
15 method comprising the steps:  
16 a) providing a label molecule, the label molecule  
17 having a aldehyde or ketone moiety,  
18 b) providing a precursor oligopeptide molecule,  
19 the precursor oligopeptide molecule comprising a  
20 first oligopeptide fused N-terminally to an  
21 intein domain,  
22 c) reacting said precursor oligopeptide molecule  
23 with hydrazine to generate an oligopeptide  
24 molecule comprising an intermediate oligopeptide,  
25 said intermediate oligopeptide having a terminal  
26 hydrazide moiety,  
27 d) allowing the aldehyde or ketone moiety of the  
28 label molecule to react with the hydrazide moiety  
29 of the intermediate oligopeptide molecule to form  
30 a labelled oligopeptide product, in which the  
31 label molecule and oligopeptide are linked via a

1       hydrazone linking moiety.

2

3       26. The method according to claim 14 or claim 25,  
4       wherein the aldehyde or ketone moiety is an  $\alpha$ -  
5       diketone or an  $\alpha$ -keto-aldehyde group.

6

7       27. A labelled oligopeptide produced by the method  
8       of any one of claims 16 to 26, in which the first  
9       and second oligopeptides are linked via a linking  
10       moiety having Formula II or Formula III.

11

12